# DISEASE CAUSATION AND NATURAL HISTORY OF DISEASE

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### **Cause of Disease**

- Cause defined as "anything producing an effect or a result". [Webster]
- Cause in medical textbooks discussed under headings like- "etiology", "Pathogenesis", "Mechanisms", "Risk factors".
- Important to physician because it guides their approach to three clinical tasks- Prevention, Diagnosis & Treatment.



### **Causal Relationships**

#### A causal pathway may be direct or indirect

 In direct causation, A causes B without intermediate effects (very rare)

In indirect causation, A causes B, but with intermediate effects

In human biology, intermediate steps are virtually always present in any causal process



#### **Theories of Disease Causation**

- Supernatural Theories: curse, evil force of the demon.
  Hippocratic Theory
- 🗆 Miasma
- Theory of Contagion
- □ Germ Theory (cause shown via Henle-Koch postulates)
- Classic Epidemiologic Theory
- Multicausality and Webs of Causation (cause shown via Hill's criteria)



### **Hippocratic Theory**

- Hippocrates promoted the concept that disease was the result of an imbalance among <u>four vital "humors"</u> within us:
- Yellow Bile, Black Bile, Phlegm, Blood
- Hippocrates believed that if one of the humors became excessive or deficient, health would deteriorate and symptoms would develop.
- Hippocrates was a keen observer and tried to relate an individual's exposures (e.g., diet, exercise, occupation, and other behaviors) to subsequent health outcomes.

#### Henle-Koch Postulates (Germ Theory)

Even though there was a "germ" of truth in miasmatic theory, in that it focused attention on environmental causes of disease and partly explained social disparities in health (poor people being more likely to live near foul odors), the theory began to fall into disfavor as the germ theory gained acceptance.

Louis Pasteur introduced the germ theory in 1878, that was developed later into Henle-Koch postulates:

- ✓ The agent is present in every case of the disease
- ✓ It does not occur in any other disease (one agent one disease)
- It can be isolated and if exposed to healthy subjects will cause the related disease

**Classic Epidemiologic Theory: Epidemiologic Triad** 

Disease is the result of forces within a dynamic system consisting of:

- 1. Agent of disease
- 2. Susceptible Host
- 3. External environment



# Classic Epidemiologic Theory (Epidemiologic Triad)

- Agent, host, and environmental factors interrelate in a variety of complex ways to produce disease.
- Different diseases require different balances and interactions of these three components.
- Development of appropriate, practical, and effective public health measures to control or prevent disease usually requires assessment of all three components and their interactions.

Agent originally referred to an infectious microorganism or pathogen: a virus, bacterium, parasite, or other microbe.

- Generally, the agent must be present for disease to occur; however, presence of that agent alone is not always sufficient to cause disease.
- A variety of factors influence whether exposure to an organism will result in disease, including the organism's pathogenicity, infectivity, virulence, and dose.



## **An Infectious Agent:**

- For an infectious agent:
- Infectivity refers to the proportion of exposed persons who become infected.
- Pathogenicity refers to the proportion of infected individuals who develop clinically apparent disease.
- Virulence refers to the proportion of clinically apparent cases that are severe or fatal.



Agent: Over time, the concept of agent has been broadened to include chemical and physical causes of disease or injury.

 These include chemical (poison, smoke, alcohol), as well as physical forces (such as repetitive mechanical forces associated with carpal tunnel syndrome, radiation), and nutritional (vitamin deficiency).

Host refers to the human who can get the disease.

- A variety of factors intrinsic to the host, sometimes called risk factors, can influence an individual's <u>exposure</u>, <u>susceptibility</u>, or <u>response</u> to a causative agent.
- Opportunities for exposure are often influenced by behaviors such as sexual practices, hygiene, smoking, physical exercise, dietary habits, and other personal choices as well as by age and sex.
- Susceptibility and response to an agent are influenced by factors such as genetic composition, nutritional and immunologic status, anatomic structure, presence of disease or medications, and psychological makeup.

**Environment** refers to extrinsic factors that affect the agent and the opportunity for exposure.

- Environmental factors include
- physical factors such as geology and climate,

<u>biologic factors</u> such as insects that transmit the agent, <u>socioeconomic</u> factors such as crowding, sanitation, and the availability of health services.

#### Factors Associated with Increased Risk of Human Disease

#### HOST (Intrinsic)

- Age
- Gender
- Ethnicity
- Religion
- Customs
- Occupation
- Heredity
- Marital status
- Family background
- Previous diseases

#### AGENTS

- Biological (bacteria, etc.)
- Chemical (poison, alcohol, smoke)
- Physical (auto, radiation, fire)
- Nutritional (lack, excess)

#### **ENVIRONMENT** (Extrinsic)

- Temperature
- Humidity
- Altitude
- Crowding
- Housing
- Neighborhood
- Water
- Milk
- Food
- Radiation
- Air pollution
- Noise



### **Multicausal Theories**

..... While the epidemiologic triad serves as a useful model for many diseases, it has proven inadequate for cardiovascular disease, cancer, and other diseases that appear to have multiple contributing causes without a single necessary one.

Several other models that attempt to account for the multifactorial nature of causation have been proposed.



### Web of Causation (Multicausal theory) for Major Cardiovascular Diseases



#### **Multicausal theory for Obesity**



### **Concept of Disease Occurrence .... Etiology of a disease**

The sum of all factors that contribute to the occurrence of a disease

 Agent factors +Host factors +Environmental factors = Etiology of a disease

The factor which can be modified,
 interrupted or nullified is most important.



### **Causal Relationships**

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### Factors for disease causation

 Sufficient factors: one that inevitably produces disease (the presence of the factor always result in disease).

Necessary factors: without which disease does not occur, but by itself, it is not sufficient to cause disease (the disease will not occur without the presence of the factor)



### **Types of Causal Relationships**

### Four types possible:

- Necessary & sufficient
- Necessary, but not sufficient
- Sufficient, but not Necessary
- Neither Sufficient nor Necessary



## I. Necessary & Sufficient

Without that factor, the disease never develops (factor is necessary)

 and in presence of that factor, the disease always develops (factor is sufficient).

□ Rare situation.





### II. Necessary, but not Sufficient

AIDS).

 Multiple factors are required, often in specific temporal sequence (cancer, initiator then promoter). Infectious diseases also (Infection with HIV is necessary but not sufficient to cause



## **III. Sufficient, but not Necessary**

 Various factors independently can produce the disease (Either radiation or benzene exposure can each produce leukemia without the presence of the other).





### **IV. Neither sufficient nor Necessary**

•More complex model.

•Probably most accurately represents causal relationships that operate in most chronic diseases.





# **IV. Neither sufficient nor Necessary**

- Public health action does not depend on the identification of every cause of a disease.
- Disease prevention can be accomplished by blocking any single factor from any combination of causes.
- For example, elimination of smoking would prevent lung cancer, although some lung cancer would still occur to people who never smoked but have the right combination of other risk factors.

# NATURAL HISTORY OF DISEASE AND DYNAMICS OF DISEASE TRANSMISSION

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Natural history of disease refers to the progression of a disease process in an individual over time, in the absence of treatment.

For example, untreated infection with HIV causes a spectrum of clinical problems beginning at the time of seroconversion (primary HIV) and terminating with AIDS and usually death. It is now recognized that it may take 10 years or more for AIDS to develop after seroconversion.



Because the spectrum of disease can include asymptomatic and mild cases, the cases of illness diagnosed by clinicians in the community often represent only the **tip of the iceberg**.

Many additional cases may be too early to diagnose or may never progress to the clinical stage. Unfortunately, persons with inapparent or undiagnosed infections may nonetheless be able to transmit infection to others.



Such persons who are infectious but have subclinical disease are called **carriers**. Frequently, carriers are persons with incubating disease or inapparent infection.

Persons with measles, hepatitis A, influenza and several other diseases become infectious a few days before the onset of symptoms.

However **carriers** may also be persons who appear to have recovered from their clinical illness but remain infectious, such as **chronic carriers** of hepatitis B virus, or persons who never exhibited symptoms.

The challenge to public health workers is that these carriers, unaware that they are infected and infectious to others, are sometimes more likely to unintentionally spread infection than are people with obvious disease. These are the dangerous group in the population.....

During the current Covid-19 epidemic, you should perceive anybody as infectious .....literally, any body.



### **Inapparent Infection**

- Preclinical disease: in the early stage of disease progression, disease is not clinically detected but is destined to become clinical disease.
- Subclinical disease: disease is not detected but the host carries the organism or has antibody response.
- Chronic carriers are those who continue to harbor a pathogen such as hepatitis B virus or Salmonella Typhi, the causative agent of typhoid fever, for months or even years after their initial infection.

### **Unapparent Infection**

One notorious carrier is Mary Mallon, or Typhoid Mary, who was an asymptomatic chronic carrier of Salmonella Typhi. As a cook in New York City and New Jersey in the early 1900s, she unintentionally infected dozens of people until she was placed in isolation on an island in the East River, where she died 23 years later.



#### The "Iceberg" Concept of Infectious Diseases

(At the level of the cell and of the host)









### **Chain of Infection**

As described above, the traditional epidemiologic triad model holds that infectious diseases result from the interaction of agent, host, and environment.

More specifically, transmission occurs when the agent leaves its reservoir or host through a portal of exit, is conveyed by some mode of transmission, and enters through an appropriate portal of entry to infect a susceptible host. This sequence is sometimes called the chain of infection.



### Reservoir

- The reservoir of an infectious agent is the habitat in which the agent normally lives, grows, and multiplies. Reservoirs include humans, animals, and the environment.
- The reservoir may or may not be the source from which an agent is transferred to a host.
- For example, the reservoir of Clostridium botulinum is soil, but the source of most botulism infections is improperly canned food containing C. botulinum spores.



### Human Reservoir

Many common infectious diseases have human reservoirs. Diseases that are transmitted from person to person without intermediaries include the <u>sexually transmitted</u> <u>diseases</u>, <u>measles</u>, <u>mumps</u>, <u>streptococcal infection</u>, and many <u>respiratory pathogens</u>.

Because humans were the only reservoir for the smallpox virus, naturally occurring smallpox was eradicated after the last human case was identified and isolated in Somalia in 1977.

### **Animal Reservoir**

- Humans are also subject to diseases that have animal reservoirs.
  Many of these diseases are transmitted from animal to animal, with humans as incidental hosts.
- The term <u>zoonosis</u> refers to an infectious disease that is transmissible under natural conditions from vertebrate animals to humans. Long recognized zoonotic diseases include brucellosis (cows and pigs), anthrax (sheep), plague (rodents), trichinellosis/trichinosis (swine: pigs), and rabies (bats, raccoons, dogs, and other mammals).
- Many newly recognized infectious diseases in humans, including HIV/AIDS, Ebola infection and SARS, are thought to have emerged from animal hosts, although those hosts have not yet been identified.

### **Environmental Reservoir**

- Plants, soil, and water in the environment are also reservoirs for some infectious agents.
- Many fungal agents, such as those that cause histoplasmosis, live and multiply in the soil.
- Outbreaks of Legionnaires disease are often traced to water supplies in cooling towers and evaporative condensers, which are the reservoirs for the causative organism Legionella pneumophila.



## **Portal of Exit**

Portal of exit is the **path by which a pathogen leaves its host**. The portal of exit usually corresponds to the site where the pathogen is **localized.** 

For example, influenza viruses and **Mycobacterium tuberculosis** exit the respiratory tract, **schistosomes** through urine, **cholera** vibrios in feces, Sarcoptes **scabiei** in scabies skin lesions.

Some **bloodborne agents** can exit by crossing the placenta from **mother to fetus** (rubella, syphilis, toxoplasmosis), while others exit through **cuts or needles** in the skin **(hepatitis B)** or blood-sucking insects **(malaria)**.

# **Modes of Transmission**

An infectious agent may be transmitted from its natural reservoir to a susceptible host in different ways:

**Direct transmission** OR Indirect transmission

#### **Direct transmission (person-to-person):**

**Direct contact**: skin-to-skin contact, kissing (saliva), sexual contact, and soil. Droplet spread: spray with relatively large, short-range droplets produced by sneezing, coughing, or even talking (hepatitis B, HIV, influenza).



### **Modes of Transmission**

#### **Indirect transmission:**

<u>Airborne</u>: infectious agents are carried by **dust or droplet nuclei** suspended in air (<5micons)(measles in a doctor's office).

<u>Vehicleborne (inanimate objects</u>): **food** (Clostreniam Botulinum), **water** (Hepatitis A virus), **biologic products** (blood), and **fomites** (such as handkerchiefs, bedding, surgical scalpels, tooth brush, toys, cutting board).

<u>Vectorborne</u> (mechanical or biologic):**mosquitoes, fleas, lice, and ticks** may carry an infectious agent through purely mechanical means or may support growth or changes in the agent: E. coli infection, coxsackievirus (hand-foot-mouth disease).

# **Transmission of Agents from Mother to Child**

**Vertical transmission** (inter-generation) is the transmission of disease-causing agents from mother directly to baby

- Just before or just after birth
- Via placenta or breast milk
- Horizontal transmission: all other transmissions

Diseases that can be transmitted from mother to baby include: HIV

Hepatitis C



# **Portal of Entry**

- The portal of entry refers to the manner in which a pathogen enters a susceptible host.
- The portal of entry must provide access to tissues in which the pathogen can multiply or a toxin can act.
- Often, infectious agents use the same portal to enter a new host that they used to exit the source host.
- For example, influenza virus exits the respiratory tract of the source host and enters the respiratory tract of the new susceptible host.

### **Portal of Entry**

- In contrast, many pathogens that cause gastroenteritis follow a so-called "fecal-oral" route because they exit the source host in feces, are carried on inadequately washed hands to a vehicle such as food, water, or utensil, and enter a new host through the mouth.
- Other portals of entry include the skin (hookworm), mucous membranes (syphilis), and blood (hepatitis B, HIV).



# **Susceptible Host**

- Susceptibility of a host depends on genetic factors, specific immunity, and nonspecific factors (skin, mucous membranes, gastric acidity, cilia in the respiratory tract, the cough reflex) that affect an individual's ability to resist infection or to limit pathogenicity.
- For example, persons with sickle cell trait are partially protected from a particular type of malaria.
- Specific immunity refers to protective antibodies that are directed against a specific agent. Such antibodies may develop in response to infection, vaccine, or toxoid.

Factors that may increase susceptibility to infection by disrupting host defenses include malnutrition, alcoholism, and disease or therapy that impairs the nonspecific immune response (chemotherapy).

# **Implications for public health**

Knowledge of the portals of exit and entry and modes of transmission provides a basis for determining appropriate **control measures**. In general, control measures are usually directed against the **segment in the infection chain that is most susceptible to intervention**:

Some interventions are directed at the mode of transmission (isolation of someone with infection, or counseling persons to avoid the specific type of contact associated with transmission)e.g. personal hygiene and social distancing to prevent Covid-19.

# **Implications for public health**

- Some strategies that protect <u>portals of entry</u> are simple and effective (bed nets for mosquitoes, mask, gloves, and face shield).
- Some interventions aim to increase a <u>host's defenses</u> (Vaccinations).
- Some interventions attempt to prevent a pathogen from encountering a susceptible host (The concept of herd immunity in childhood vaccines).

#### Herd Immunity and Disease Transmission

- In a population, disease transmission may stop before all susceptible individuals are infected
- Herd immunity is the resistance of a group to attack from a disease to which a large portion of members are immune, thus lessening the likelihood of a patient with a disease coming into contact with a susceptible individual



# **Herd Immunity and Disease Control**

The success of herd immunity in controlling the disease depends on the **proportion of subjects with immunity in a population** (Immunity can be from immunization or infection)

So, when the population is **immunized** (e.g. ,vaccinated) at or above the herd immunity level (critical immunization threshold level), the infectious **disease will be more rare, will spread less and will be eliminated**.

Herd immunity level differs for various diseases

- For example, it is estimated that 94% of the population must be immune before measles can be controlled
- For mumps, it is around **90%**, and for polio is **80%**
- The more infectious the disease is, the higher the herd immunity level.



## **Requirements for Herd Immunity**

- The disease agent is restricted to a <u>single-host species</u> within which transmission occurs (For example, smallpox in human; no reservoir in the environment).
- There is relatively <u>direct transmission</u> from one member of the host species to another (direct contact only).
- Infections must induce <u>solid immunity</u> (natural or from immunization).

